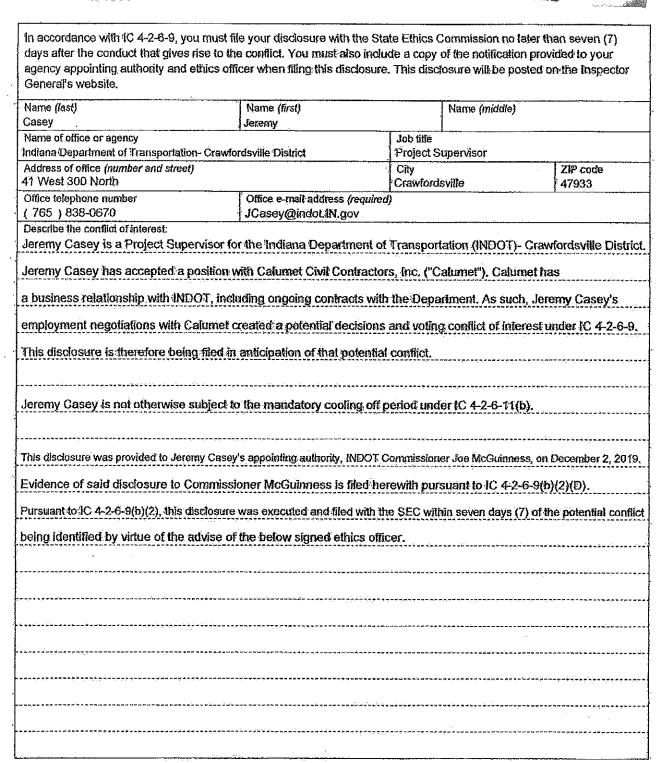
INDIANA STATE ETHICS COMMISSION



ETHICS DISOLOSURE STATEMENT CONFLICTS OF INTEREST—DECISIONS AND VOTING

State Form 55860 (R / 10-15) OFFICE OF THE INSPECTOR GENERAL IC 4-2-6-9 DEC 0 4 2019

FILED



Describe the screen established by your ethics officer. (Atlach additional pages as needed A formal screen was executed with INDOT's ethics officer preventing Jeremy Cas	
in his capacity as an INDOT employee, Saīd screen prohibits Jeremy Casey from	under taking any of his official duties
or otherwise participating in any decision or vote, or matter related to such decision	on or vote, involving Calumet
or in which Calumet has an interest.	
The screen was executed on December 2, 2019, a copy of which was sent to Co	mmissioner Joe McGuinness along
with this disclosure.	
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AFFIRMATION	
Your signature below affirms that your disclosures on this form are true, complete knowledge and belief. In addition to this form, you have attached a copy of your appointing authority and ethics officer.	
Signature of state officer, employee or special state appointee	Date signed (month, day, year) December 2, 2019
Printed foll frame of state officer, employee or special state appointee Jeremy Casey	
FOR ETHICS OFFICER USE ONLY	
Your signature below affirms that you have reviewed this disclosure form and that best of your knowledge and belief. You also attest that your agency has implement	
Signature of ethics officer	Date signed (month, day, year) December 2, 2019
Printed tull name of elnics officer Christopher R Serak	

CONFLICT OF INTEREST SCREEN FOR JEREMY CASEY December 2, 2019

I. RECITALS

WHEREAS, Jeremy Casey is a Project Supervisor for the Indiana Department of Transportation, Crawfordsville District ("INDOT" or "Department"); and

WHEREAS, Jeremy Casey has entered employment negotiations with Calumet Civil Contractors, Inc. ("Calumet"); and

WHEREAS, Calumet has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Project Supervisor for INDOT, it is possible that Jeremy Casey could be assigned to work with Calumet, or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Jeremy Casey's employment negotiations with Calumet created a potential decisions and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen.

NOW THEREFORE, Jeremy Casey, a Project Supervisor for INDOT, Crawfordsville District, for his remaining tenure with the Department, or until he discontinues employment negotiations with Calumet Civil Contractors, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen.

4I. CONFLICT OF INTEREST SCREEN

- 1. Jeremy Casey shalf not participate in any decision or vote, or any matter related to such decision or vote, in which Calumet has a financial interest.
- Jeremy Casey should be screened from participating in any present or future contract or other matter involving Calumet.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Calumet Civil Contractors, Inc. has a financial interest. I understand that the terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Calumet, whichever occurs first. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 2nd day of December, 2019, by:

Jeremy Casey, Project Supervisor

Indiana Department of Transportation- Crawfordsville District

Baker, Nathaniel P

To:

Cooper, Jennifer

Subject:

RE: Executed COI Disclosure and Internal Screen (Jeremy Casey)

From: Serak, Chris (INDOT)

Sent: Wednesday, December 4, 2019 2:00 PM **To:** McGuinness, Joe < <u>JMcGuinness@indot.IN.gov</u>>

Cc: Kennedy, Heather < HKennedy@indot.IN.gov >; Casey, Jeremy < JCasey@indot.IN.gov >

Subject: Executed COI Disclosure and Internal Screen (Jeremy Casey)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Jeremy Casey. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation

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Email: chserak@indot.in.gov

Location: Room N730 (Internal Affairs)

